

SEP 15 2003

Sheet 1 of 12

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 003-006 C1	Application No. 09/698,357
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant PLESS et al.	
		Filing Date October 27, 2000	Group Art Unit 3739

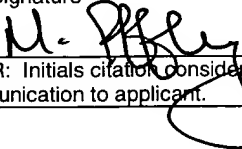
U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS
WAP	A1	3,862,627	01/1975	Hans, Sr.		
	AA	4,736,749	04/1988	Lundback		
	A2	4,802,475	02/1989	Weshahy		
	A3	4,815,470	03/1989	Curtis et al.		
	A4	4,872,346	10/1989	Kelly-Fry et al.		
	A5	4,917,095	04/1990	Fry et al.		
	A7	5,080,102	01/1992	Dory		
	A8	5,108,390	04/1992	Potocky et al.		
	A9	5,147,355	09/1992	Friedman et al.		
	A10	5,222,501	06/1993	Ideker et al.		
	A11	5,224,943	07/1993	Goddard		
	AB	5,277,201	01/1994	Stern		
	A12	5,231,995	08/1993	Desai		
	A13	5,254,116	10/1993	Baust et al.		
	A14	5,263,493	11/1993	Avitall		
	A15	5,269,291	12/1993	Carter, Robert E.		
	A16	5,281,215	01/1994	Milder		
	A18	5,323,781	06/1994	Ideker et al.		
	A19	5,324,284	06/1994	Imran		
	AC	5,324,255	06/1994	Passafaro et al.		
	A20	5,334,181	08/1994	Rubinsky et al.		
	A21	5,348,554	09/1994	Imran et al.		
	A22	5,353,783	10/1994	Nakao et al.		
	A23	5,354,258	10/1994	Dory		
	A24	5,385,148	01/1995	Lesh et al.		
	AD	5,396,887	03/1995	Imran		
	AE	5,400,783	03/1995	Pomeranz et al.		
	A25	5,405,376	04/1995	Mulier et al.		
	A26	5,423,807	06/1995	Milder		
	A27	5,423,811	06/1995	Imran et al.		
AF	5,427,119	06/1995	Swartz			
A28	5,431,649	07/1995	Mulier et al.			
A29	5,433,708	07/1995	Nichols et al.			
A30	5,435,308	07/1995	Gallup et al.			

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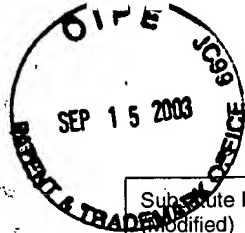
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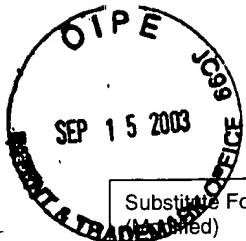


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MP	A31	5,437,651	08/1995	Todd et al.		
	AG	5,443,463	08/1995	Stern et al.		
	AH	5,443,470	08/1995	Stern et al.		
	A32	5,450,843	09/1995	Moll et al.		
	AI	5,452,733	09/1995	Sterman et al.		
	A33	5,462,545	10/1995	Wang et al.		
	A34	5,465,717	11/1995	Imran et al.		
	A35	5,469,853	11/1995	Law et al.		
	A36	5,478,330	12/1995	Imran et al.		
	A37	5,487,385	01/1996	Avitall		
	A38	5,487,757	01/1996	Truckai et al.		
	AJ	5,496,312	03/1996	Klicek		
	AK	5,497,774	04/1996	Swartz et al.		
	A39	5,500,012	03/1996	Brucker et al.		
	AL	5,505,730	04/1996	Edwards		
	A40	5,520,682	05/1996	Baust et al.		
	A41	5,536,267	07/1996	Edwards et al.		
	A42	5,545,195	08/1996	Lennox et al.		
	A43	5,545,200	08/1996	West et al.		
	A44	5,549,661	08/1996	Kordis et al.		
	A45	5,555,883	09/1996	Avitall		
	A46	5,560,362	10/1996	Sliwa, Jr. et al.		
	AM	5,562,720	10/1996	Sterman et al.		
	AN	5,569,241	10/1996	Edwards		
	A47	5,571,088	11/1996	Lennox et al.		
	AO	5,571,215	11/1996	Sterman et al.		
	A48	5,575,766	11/1996	Swartz et al.		
	AP	5,575,788	11/1996	Baker et al.		
	A49	5,575,810	11/1996	Swanson et al.		
	A50	5,578,007	11/1996	Imran		
	A51	5,582,609	12/1996	Swanson et al.		
	A52	5,588,432	12/1996	Crowley		
	A54	5,595,183	01/1997	Swanson et al.		
	A55	5,607,462	03/1997	Imran		
A56	5,617,854	04/1997	Munsif			
A57	5,630,837	05/1997	Crowley			
A58	5,637,090	09/1997	McGee et al.			

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Examiner Signature <i>W. Pless</i>	Date Considered 10/27/03
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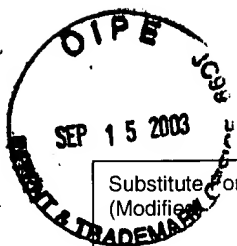


Substitute Form PTO-1449 (Rev. 11-97)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 003-006 C1	Application No. 09/698,357
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EXAMINER INITIAL	*	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS
w/p	A59	5,643,197	07/1997	Brucker et al.		
	A60	5,656,029	08/1997	Imran et al.		
	A61	5,658,278	08/1997	Imran et al.		
	A62	5,671,747	09/1997	Connor		
	A63	5,673,695	10/1997	McGee et al.		
	A64	5,676,662	10/1997	Fleischhacker et al.		
	A65	5,676,692	10/1997	Sanghvi et al.		
	A66	5,676,693	10/1997	LaFontaine		
	A67	5,678,550	10/1997	Bassen et al.		
	A68	5,680,860	10/1997	Imran		
	A69	5,681,278	10/1997	Igo et al.		
	A70	5,681,308	10/1997	Edwards et al.		
	A71	5,687,723	11/1997	Avitall		
	A72	5,688,267	11/1997	Panescu et al.		
	A73	5,690,611	11/1997	Swartz et al.		
	A74	5,697,925	12/1997	Taylor		
	A75	5,697,927	12/1997	Imran et al.		
	A76	5,697,928	12/1997	Walcott et al.		
	A77	5,713,942	02/1998	Stern		
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	A80	5,718,241	02/1998	Ben-Haim et al.		
	A81	5,720,775	02/1998	Lanard		
	A82	5,730,074	03/1998	Peter		
	A83	5,730,127	03/1998	Avitall		
	A84	5,730,704	03/1998	Avitall		
	A85	5,733,280	03/1998	Avitall		
	A87	5,735,290	09/1998	Nelson et al.		
	A88	5,755,760	05/1998	Maguire et al.		
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	A90	5,785,706	07/1998	Bednarek, Michael C.		
	A91	5,797,960	08/1998	Stevens et al.		
	A92	5,800,428	09/1998	Nelson et al.		
	A93	5,800,482	09/1998	Pomeranz et al.		
	A94	5,810,802	09/1998	Panescu et al.		
	A95	5,827,216	10/1998	Igo et al.		
w/p	A96	5,836,947	11/1998	Fleischman et al.		

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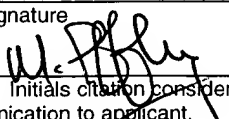


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EXAMINER INITIAL	*	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS
uP	A97	5,840,030	11/1998	Ferek-Petric et al.		
	A98	5,844,349	12/1998	Oakley et al.		
	A99	5,849,028	12/1998	Chen		
	A100	5,871,523	02/1999	Fleischman et al.		
	A101	5,871,525	02/1999	Edwards et al.		
	A102	5,873,845	02/1999	Cline et al.		
	A103	5,876,399	03/1999	Chia et al.		
	A104	5,879,295	03/1999	Li et al.		
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	A106	5,882,346	03/1999	Pomeranz et al.		
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	A109	5,895,417	04/1999	Pomeranz et al.		
	A110	5,897,554	04/1999	Chia et al.		
	A111	5,899,899	05/1999	Arless et al.		
	A112	5,902,289	05/1999	Swartz et al.		
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	A116	5,921,924	07/1999	Avitall		
	A117	5,921,982	07/1999	Lesh et al.		
	A118	5,928,191	07/1999	Houser et al.		
	A119	5,927,284	07/1999	Borst et al.		
	A120	5,931,810	08/1999	Grabek		
	A121	5,931,848	08/1999	Saadat		
	A122	5,954,661	09/1999	Greenspon et al.		
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	A124	5,971,983	10/1999	Lesh		
	A125	5,993,447	11/1999	Blewett et al.		
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	A127	6,012,457	01/2000	Lesh		
	A128	6,042,556	03/2000	Beach et al.		
	A129	6,071,279	06/2000	Whayne et al.		
	A130	6,088,894	07/2000	Oakley		
	A131	6,113,592	09/2000	Taylor		
	A132	6,117,101	09/2000	Diederich et al.		
	A133	6,120,496	09/2000	Whayne et al.		
A134	6,142,994	11/2000	Swanson et al.			
uP	A135	6,152,920	11/2000	Thompson et al.		

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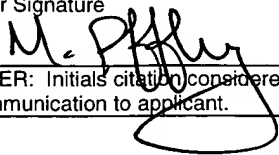
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EXAMINER INITIAL	*	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS
WJ	A136	6,161,543	12/2000	Cox et al.		
	A137	6,217,576 B1	04/2001	Tu et al.		
	A138	6,217,528	04/2001	Koblish et al.		
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	A140	6,237,605	05/2001	Vaska et al.		
	A141	6,238,347 B1	05/2001	Nix et al.		
	AQ	6,245,064	06/2001	Lesh et al.		
	A142	6,245,065 B1	06/2001	Panescu et al.		
	A143	6,270,471 B1	08/2001	Hechel et al.		
	A144	6,293,943 B1	09/2001	Panescu et al.		
	A145	6,296,619 B1	10/2001	Brisken et al.		
	A146	6,302,880 B1	10/2001	Schaer		
	A147	6,311,692	11/2001	Vaska et al.		
	A148	6,312,383 B1	11/2001	Lizzi et al.		
	A149	6,314,962 B1	11/2001	Vaska et al.		
	A150	6,314,963	11/2001	Vaska et al.		
	A151	6,325,797	12/2001	Stewart et al.		
	A152	6,332,881	12/2001	Carner et al.		
	A153	2002/0022839A1	02/2002	Stewart et al.		
	A154	2002/0026183A1	02/2002	Simpson		
	A155	6,361,531	03/2002	Hissong, James B.		
	A156	6,364,876	04/2002	Erb et al.		
	A157	6,368,275 B1	04/2002	Sliwa et al.		
	A158	6,371,955 B1	04/2002	Fuimaono et al.		
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	A160	6,383,151	05/2002	Diederich et al.		
	A161	6,385,472 B1	05/2002	Hall et al.		
	A162	6,398,792	06/2002	O'Connor		
	A163	2002/0087151	07/2002	Mody et al.		
	A164	2002/0087208A1	07/2002	Koblish et al.		
	A165	6,413,254	07/2002	Hissong et al.		
	A166	6,419,648 B1	07/2002	Vitek et al.		
	A168	6,430,426	08/2002	Avitall		
	A169	6,447,507	09/2002	Bednarek et al.		
	A170	2002/0143326A1	10/2002	Foley et al.		
	A171	6,461,314	10/2002	Pant et al.		
WJ	A172	6,471,697	10/2002	Lesh		

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EXAMINER INITIAL	*	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	
	A173	6,461,956 B1	10/2002	Patterson			
	A174	6,464,700 B1	10/2002	Koblisch et al.			
	A175	6,471,698 B1	10/2002	Edwards et al.			
	A176	6,474,340 B1	11/2002	Vaska et al.			
	A177	6,477,396 B1	11/2002	Mest et al.			
	A178	6,484,727 B1	11/2002	Vaska et al.			
	A179	6,527,767 B1	03/2003	Wang et al.			
		6,610,055	08/2003	Swanson et al.			

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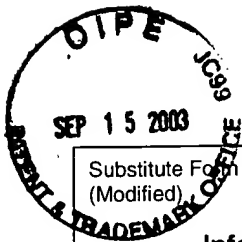
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EXAMINER INITIAL	*	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANS- LATION?
np	B1	GB 2 094 636	09/1982	UK			
	B2	AU A-70522/96	11/1994	Australia			
	B3	WO 95/10318	04/1995	PCT			
	B4	WO 95/10319	04/1995	PCT			
	AR	WO 95/10321	04/1995	PCT			
	AS	WO 95/10978	04/1995	PCT			
	B5	WO 95/17222	06/1995	PCT			
	B6	WO 95/15115	06/1995	PCT			
	B7	WO 95/30380A	11/1995	PCT			
	B8	GB 2 289 510A	11/1995	UK			
	B9	WO 96/10961	04/1996	PCT			
	AT	WO 96/26675	09/1996	PCT			
	AU	WO 96/39966	12/1996	PCT			
	B10	WO 97/06727	02/1997	PCT			
	AV	WO 97/17904	05/1997	PCT			
	B11	WO 97/18853	05/1997	PCT			
	AW	WO 97/25916	07/1997	PCT			
	B12	WO 97/25918	07/1997	PCT			
	AX	WO 97/25919	07/1997	PCT			
	AY	WO 97/32525	09/1997	PCT			
	B13	WO 97/33526	09/1997	PCT			
	B14	WO 97/43970	11/1997	PCT			
	B15	WQ 98/24488	06/1998	PCT			
B16	WO 98/26724	06/1998	PCT				
AZ	WO 97/37607	10/1997	PCT				
AAA	WO 97/41793	11/1997	PCT				
ABB	WO 97/45156	12/1997	PCT				
ACC	WO 98/17187	04/1998	PCT				
B17	WO 98/37822	09/1998	PCT				
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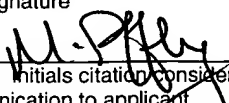


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				Filing Date October 27, 2000		Group Art Unit 3739	
	B24	WO 99/56812	11/1999	PCT			
	B25	WO 99/59486	11/1999	PCT			
	B26	WO 00/45706	08/2000	PCT			
	B27	WO 00/57495	09/2000	PCT			
	B28	WO 01/03594A1	01/2001	PCT			
	B29	WO 01/05305A1	01/2001	PCT			
	B30	WO 01/28623A2	04/2001	PCT			
	B31	WO 01/45550A2	06/2001	PCT			
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	B38	WO 02/05722A1	01/2002	PCT			
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EXAMINER INITIAL		Other Art (Including Author, Title, Date, Pages, etc.)
wp	C1	AFx, inc., "FLEX Surgical Ablation Device: Instructions for Use," Document No. 900044 Rev A; March 28, 2000: 1-9
	C2	AFx, inc., "AFx Microwave Generator, Series 1000; User's Manual," Document No. 900067/A/1310, (2000): 1-13
	C3	AFx, inc., "FLEX10™: Accessory for the Afx Microwave Ablation Aystem; Instructions for Use," Document No. 900077/C/1638, (2001): 1-8. Supplemental Document No. 900106/A/1586
	C4	AFx, inc., "LYNX Surgical Ablation Device: Instructions for Use," Document No. 900024/K/1114: 1-4
	AEE	Avitall et al., "A Thoracoscopic Approach to Ablate Atrial Fibrillation Via Linear Radiofrequency Lesion Generation on the Epicardium of Both Atria," PACE, April 1996;19(Part II):626,#241
	C5	Boston Scientific, EP Technologies, "Cobra® Electrosurgical Unit, Operator's Manual: 4810 & 4811," Document No. 11292-001 EAW Ver AC, Feb 2000; pp. 1-1 thru 9-2
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	C11	Cox et al., "The Maze III Procedure for Treatment of Atrial Fibrillation," <u>Cardiac Arrhythmias</u> , 78: 460-475.
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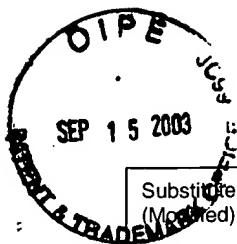
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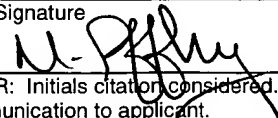
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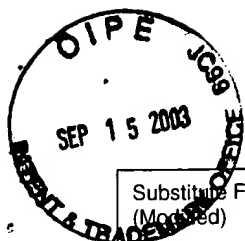


Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 003-006 C1		Application No. 09/698,357	
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))				Applicant PLESS et al.			
				Filing Date October 27, 2000		Group Art Unit 3739	
EXAMINER INITIAL		Other Art (Including Author, Title, Date, Pages, etc.)					
np	C16	He et al., "Application of Ultrasound Energy for Intracardiac Ablation of Arrhythmias," <i>The European Society of Cardiology</i> , 1995;16:961-966.					
	C17	Hunt, John W., "Application of Microwave, Ultrasound and Radiofrequency Heating," <i>Natl Cancer Inst Monogr</i> , (1982); 61: 447-456					
	C18	Hynynen et al., "Cylindrical Ultrasonic Transducers for Cardiac Catheter Ablation," <i>IEEE Transactions on Biomedical Engineering</i> , 1997;44(2):144-151.					
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	AHH	Lindsay et al., "Intraoperative Observations and Epicardial Mapping after Attempted Catheter Ablation of Atrial Fibrillation," <i>Circulation</i> (November 1997) 96:450, #2517					
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	All	Nakagawa et al, "Use of Atrial Potential Attenuation to Identify Endpoint of Radiofrequency Application for Continuous, Transmural Linear Atrial Ablation," <i>Circulation</i> (November 1997) 96:577,I-451, #2523					
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np	C27	Olgin et al., "Electrophysical Effects of Long. Linear Atrial Lesions Placed Under Intracardiac Ultrasound Guidance," <i>Circulation</i> , 1997;96(8):2715-2721.					

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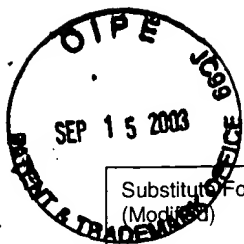
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	C28	Petersen et al., "Tissue Temperatures and Lesion Size During Irrigated Tip Catheter Radiofrequency Ablation:....," <u>PACE</u> , (2000); 23: 8-17					
	C29	Pfeiffer et al., "Epicardial Neodymium....," <u>Am Heart J</u> , 1996;94(12):3221-3225.					
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	C34	Stone et al., "Ablation of Atrial Fibrillation by the Maze Procedure," <u>Surgical Forum, Cardiothoracic Surgery</u> , date unknown, 213-215.					
	C35	Thomas et al., "Microwave Ablation of Myocardial Tissue:..." <u>J Cardiovasc Electrophysiol</u> , (1999); Vol 10: 72-78					
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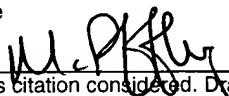
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mf		C42		Wonnell et al., "Evaluation of Microwave and Radio Frequency Catheter Ablation in a Myocardium-Equivalent Phantom Model," <i>IEEE Transactions on Biomedical Engineering</i> , 1992;39(10):1086-1095.			
mf		C43		Zimmer et al., "The Feasibility of Using Ultrasound for Cardiac Ablation," <i>IEEE Transactions on Biomedical Engineering</i> , 1995;42(9):891-897.			

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